ORACLE®

# **Biscotti and Cannoli**

An Initial Exploration into Machine Learning for the Purposes of Finding Bugs in Source Code

Tim Chappell<sup>\*</sup>, Cristina Cifuentes, Paddy Krishnan Queensland University of Technology<sup>\*</sup>, Oracle Labs November 15, 2016

**Oracle** Labs



### **Project Overview**

- Imagine if machine learning could detect bugs for us in software
  - With good precision
  - With good recall
  - With good performance
  - And beat Parfait and other static code analysis tools at finding bugs in software
- This Friday Project is an investigation into what is feasible in this space — Project started in February 2016



Machine Learning is the subfield of computer science that "gives computers the ability to learn without being explicitly programmed" (Arthur Samuel, 1959)

– Wikipedia

## Machine Learning Approaches

#### **Supervised Learning**

 The learning algorithm is given example inputs and their desired outputs, with the goal to learn a general rule that maps inputs to outputs

#### **Unsupervised Learning**

• The learning algorithm infers structure in its inputs to produce the outputs of interest



## Machine Learning Approaches

#### **Supervised Learning**

- The learning algorithm is given example inputs and their desired outputs, with the goal to learn a general rule that maps inputs to outputs
- Two tools
  - Biscotti
  - Cannoli

**Unsupervised Learning** 

• The learning algorithm infers structure in its inputs to produce the outputs of interest

#### ORACLE

#### Supervised Learning – Classifiers and Decision Trees



Diagram from: http://sebastianraschka.com/images/blog/2014/intro\_supervised\_learning/decision\_tree\_1.png

#### ORACLE

#### **2D Decision Boundary**



ORACLE

http://statweb.stanford.edu/~jtaylo/courses/stats202/\_images/trees\_fig\_03.png

#### Iris Dataset Example

• Made use of two petal **features** (length and width)

• Classified into three classes of Irises (setosa, versicolor, virginica)



### Abstracting The Iris Dataset Example

- Features are inputs
- Classes are outputs
- Dataset needs to contain features and classes



### Abstracting The Iris Dataset Example

- Features are inputs
- Classes are outputs
- Dataset needs to contain features and classes
- For bugs in source code
  - Features == ?
  - Classes == bug type

#### Biscotti





## **Biscotti's Feature Selection**

- Complexity of the code
  - Cyclomatic complexity
  - Def-use chains
  - # edges
  - # knots
  - Length of code
  - Line count
  - Nesting level
  - Vocabulary

ORACLE

— ...

- Function start line
- Function end line

• Text features

— !

— (

— ) — ,

- 00 - 1

\_ <u>...</u>

- FILE

- ...

— ...

- Input

Logged

• Intermediate Code instruction frequency

- add

- alloca
- and
- $\operatorname{ashr}$
- bitcast
- -br
- call
- extractvalue
- fadd

— ...

#### **Biscotti's Feature Selection**

- Intermediate Code 2-grams
  - alloca-alloca
  - store-store
  - store-br
  - br-load
  - load-icmp
  - icomp-br

ORACLE

- br-br
- ...

- Clang –analyze output
  - Array-subscript-is-undefined
  - Bad-free
  - Dead-assignment
  - Dead-increment
  - Dereference-of-null-pointer
  - Double-free
  - Function-call-argument-isan-uninitialized-value
  - Memory-leak

— ...

Out-of-bound-array-access

- Output from other Static Code Analysis tools
  - Parfait
  - Splint
  - UNO

#### Feature Selection – Dimensionality Reduction

ORACLE



Copyright © 2016, Oracle and/or its affiliates. All rights reserved.

### Feature Selection – Dimensionality Reduction

#### • LOONNE: leave one out nearest neighbour error

- Removes the least distinguishing feature at each step by minimising the global error

Given a feature set FS,

GlobalError(FS) = Sum of all misclassifications for FS

LOONNE removes feature f if

for all other features f', GlobalError(FS-{f}) > GlobalError(FS-{f'})



## Biscotti's Classification Algorithm

- Random Forests
  - Forest of 100 randomly-seeded decision trees using random subsets of the feature set
  - The outcomes of the decision trees are combined to produce a single outcome for each result
  - Useful when no natural probabilistic distribution amongst features
- Granularity of analysis: function level
  - Line number level too fine for initial experimentation



#### Training and Test Datasets: BegBunch's Accuracy Suites Bugs are marked up in the suites

BegBunch Suite	Type of Benchmark	Average Non-Commented Lines of Code	# Functions	# and Types of Bugs	
Cigital	Synthetic	15	50		
Samate	Synthetic	20	2,366	Buffer overruns: 1709	
lowa	Synthetic	31	1,686	Memory leaks: 196 Uninitialised vars: 131	
OracleLabs*	Real	917	547		

#### Trained with 4-fold cross-validation over test datasets

ORACLE

\* These bug kernels were extracted from open source code, including relevant flow of control.



### Results ML (Biscotti) vs Static Code Analysis Tools

Type of Bug	Spli	int	Par	fait	Bisc	otti
					500 fe	atures
Buffer overrun	581/999 TP (58%)	343 FP	885/999 (89%)	14 FP	910/999 (91%)	262 FP
Memory leak	-		9/42 (21%)	10 FP	17/42 (40%)	3 FP
Uninitialised variable	12/15 TP (80%)	54 FP	13/15 (87%)	11 FP	8/15 (53%)	0 FP

Evaluated using 4-fold cross-validation over BegBunch dataset



## What Did Biscotti Learn?

- Top 10 features
  - [Parfait] buffer overflow
  - [Parfait] read outside array bounds
  - [Splint] fresh storage not released before return
  - -[Text] ,
  - [Complexity] function end line
  - [Parfait] uninitialised variable
  - [Splint] function exported but not used outside
  - [Splint] for body not block



- Training datasets have high number of synthetic benchmarks
  - Biscotti learnt to rely on features that don't make sense (e.g., end of line)
- None of the features are representative of a bug

### Results ML (Biscotti) vs Static Code Analysis Tools

Type of Bug	Splint		Parfait		Biscotti			
					500 fe	atures	1-&2-g complexit (553 fe	y features
Buffer overrun	581/999 TP (58%)	343 FP	885/999 (89%)	14 FP	910/999 (91%)	262 FP	23/999 (2%)	5 FP
Memory leak	-		9/42 (21%)	10 FP	17/42 (40%)	3 FP	5/42 (12%)	0 FP
Uninitialised variable	12/15 TP (80%)	54 FP	13/15 (87%)	11 FP	8/15 (53%)	0 FP	0/15 (0%)	0 FP

Evaluated using 4-fold cross-validation over BegBunch dataset



### **Biscotti Conclusions**

- Need more datasets of representative bugs; marked up
  - I.e., not synthetic benchmarks
- The crux of supervised learning is determining the **right set of features** — What features make a bug a bug?



# "Deep Learning succeeds when it's difficult to figure out what features you want to use in your classifier"



## Machine Learning Approaches

#### **Supervised Learning**

 The learning algorithm is given example inputs and their desired outputs, with the goal to learn a general rule that maps inputs to outputs

• Two tools

- Biscotti
- $-\operatorname{Cannoli}$

#### ORACLE

**Unsupervised Learning** 

• The learning algorithm infers structure in its inputs to produce the outputs of interest

#### Supervised Learning – Convolutional Neural Networks 3-layer neural network





http://cs231n.github.io/assets/nn1/neural\_net2.jpeg

#### Supervised Learning – Convolutional Neural Networks Convolutional neural network



ORACLE

http://cs231n.github.io/assets/cnn/cnn.jpeg





#### Cannoli's Architecture



The quick brown fox jumped over the lazy dogs





## Training Dataset: BegBunch's Scalability Suites

#### Bugs are not marked up in these suites

BegBunch Suite	Average Non-Commented Lines of Code	# Functions
Calysto	87,636	11,214
OracleLabs	394,739	53,448



## Results ML (Cannoli) vs Static Code Analysis Tools

Training on Scalability Suite (50/50 split), testing on OpenSolaris ONNV b93\* (no split)

Type of Bug	Parfait v0.4.1	Cannoli
Buffer overrun	221 TP, 81 FP	213/221 TP, 56095 FP
Memory leak	506 TP, 94 FP	497/506 TP, 47414 FP

#### Training on Scalability Suites using Parfait v1.7.1.3 results as ground truth



\* 168,666 functions

## Results ML (Cannoli) vs Static Code Analysis Tools

Training on BegBunch's Accuracy Suites (no split), testing on OpenSolaris ONNV b93\*

Type of Bug	Parfait v0.4.1	Cannoli
Buffer overrun	221 TP, 81 FP	23/221 TP, 9146 FP
Memory leak	506 TP, 94 FP	0/506 TP, 174 FP
Uninitialised variable	30 TP, 16 FP	0/30 TP, 153 FP

Training on Scalability Suites using Parfait v1.7.1.3 results as ground truth



\* 168,666 functions

#### What Did Cannoli Learn?





#### Cannoli Conclusions

• Image recognition techniques not ideal for source code analysis

• Results from black-box techniques are not very useful for bug detection - No bug traces can be derived for developers to understand the results of the tool



### Summary Of The State Of The Art

Paper	Venue-Year	Summary
Brun, Ernst	ICSE-04	Properties inferred using both buggy and fixed code
Yamaguchi et al.	ACSAC-12	Extrapolate vulnerabilities from known vulnerabilities using AST representations
ALETHEIA	CCS-14	Statistical analyses to predict "rare" vulnerabilities; tunable to focus on FP elimination/TP detection. Basic features (per Biscotti)
JSNice	POPL-15	Use program dependence graphs and statistical prediction to deobfuscate JavaScript code
Mou et al.	AAAI-16	Convolutional Neural Networks using AST representation to identify code similarities
Wang et al.	ICSE-16	Use Deep Belief Networks and AST representation to detect within project and cross project defects
Greico et al.	CODASPY-16	Use static and dynamic features (state of memory) to detect vulnerabilities



## Summary

- Two ML approaches were implemented to find bugs in C code
  - Biscotti: supervised learning using a random forest of decision trees and LOONNE
  - Cannoli: supervised learning using a convolutional neural network
- Both learned "something"
  - But results are tied to the datasets used; i.e., doesn't learn to find bugs in unseen code
- Biscotti captures syntactic features of the program
  - Need to capture semantic features
- Need a lot more representative data



#### **Future Plans**

- 1. Create enough data for datasets
  - Representative proportion of buggy vs non-buggy code
  - Representative number of bugs for each bug type of interest
  - Fixed version of each buggy example
- 2. Explore different approaches to encode semantics
  - Use of buggy vs fixed code to determine features of interest [Ernst'04]
  - Use of recurrent neural network with long short-term memory (LSTM) [Tristan'16]





# Integrated Cloud Applications & Platform Services



ORACLE®